

**Report of Head of Scrutiny and Member Development**

**Report to Scrutiny Board (Adult Social Services, Public Health, NHS)**

**Date: 4 October 2016**

**Subject: NHS England Planning Guidance (2017 – 2019)**

Are specific electoral Wards affected? If relevant, name(s) of Ward(s):	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Are there implications for equality and diversity and cohesion and integration?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is the decision eligible for Call-In?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does the report contain confidential or exempt information? If relevant, Access to Information Procedure Rule number: Appendix number:	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

**1 Purpose of this report**

- 1.1 The purpose of this report is to present the recently published the NHS Operational Planning Guidance for 2017/18 and 2018/19 to allow the Scrutiny Board an initial opportunity to consider the broad implications of the guidance; and to determine any further specific scrutiny activity that may be required.

**2 Main issues**

- 2.1 On 22 September 2016, national NHS leaders set out steps to strengthen collaboration across the NHS and ensure that local health and care areas are successful in delivering their blueprints for the future.
- 2.2 Published by NHS England and NHS Improvement, the NHS Operational Planning Guidance for 2017/18 and 2018/19 provides NHS trusts and commissioners with tools they need to plan for the years ahead.
- 2.3 For the first time, the guidance covers two financial years, underpinned by a two-year tariff for NHS patients and a two-year NHS Standard Contract.
- 2.4 A range of additional measures to help the NHS deliver on the commitments of the Five Year Forward View have also been announced, including:
- New incentives worth more than £100m to help tackle unnecessary delays in discharging patients from hospital;
  - Incentives to reduce people attending A&E with mental health problems;
  - Further steps to ensure the sustainability of general practice.

- 2.5 The guidance has been published three months earlier than normal, to allow more time to plan work in line with national priorities. These will include the actions agreed in priority areas such as cancer, mental health, learning disabilities, primary care and Urgent and Emergency Care.
- 2.6 It has been stated that to help local NHS organisations work together effectively, Sustainability and Transformation (STP) areas will be able to apply for their own system wide financial control totals. This will enable resources to be pooled across organisations and make it easier to shift money to support care improvement and redesign.
- 2.7 The detailed guidance is appended to this report for information; and includes the following outline timetable:

<b>Timetable Item</b>	<b>Date</b>
<b>Planning Guidance published</b>	<b>22 September 2016</b>
<b>Technical Guidance issued</b>	<b>22 September 2016</b>
Commissioner Finance templates issued (commissioners only)	22 September 2016
Draft NHS Standard Contract and national CQUIN scheme guidance published	22 September 2016
National Tariff draft prices issued	22 September 2016
Provider control totals and STF allocations published	30 September 2016
Commissioner allocations published	21 October 2016
NHS Standard Contract consultation closes	21 October 2016
<b>Submission of STPs</b>	<b>21 October 2016</b>
National Tariff section 118 consultation issued	31 October 2016
Final CCG and specialised services CQUIN scheme guidance issued	31 October 2016
Provider finance, workforce and activity templates issued with related Technical Guidance (providers only)	1 November 2016
Submission of summary level 2017/18 to 2018/19 operational financial plans	1 November 2016 (noon)
Commissioners (CCGs and direct commissioners) to issue initial contract offers that form a reasonable basis for negotiations to providers	4 November 2016
Providers to respond to initial offers from commissioners (CCGs and direct commissioners)	4 November 2016
Final NHS Standard Contract published	4 November 2016
<b>Submission of full draft 2017/18 to 2018/19 operational plans</b>	<b>24 November 2016 (noon)</b>
Weekly contract tracker to be submitted by CCGs, direct	Weekly from:21/22

Timetable Item	Date
commissioners and providers	November 2016 to 30/31 January 2017
Where CCG or direct commissioning contracts are not signed and contract signature deadline of 23 December 2016 is at risk, local decisions to enter mediation	5 December 2016
Contract mediation	5-23 December 2016
National Tariff section 118 consultation results announced	w/c 12 December 2016
Publish National Tariff	20 December 2016
<b>National deadline for signing of contracts</b>	<b>23 December 2016</b>
Final contract signature date for CCG and direct commissioners for avoiding arbitration	23 December 2016
<b>Submission of final 2017/18 to 2018/19 operational plans, aligned with contracts</b>	<b>23 December 2016</b>
Final plans approved by Boards or governing bodies of providers and commissioners	By 23 December 2016
Submission of joint arbitration paperwork by CCGs, direct commissioners and providers where contracts not signed	By 9 January 2017
Arbitration outcomes notified to CCGs, direct commissioners and providers	Within two working days after panel date
Contract and schedule revisions reflecting arbitration findings completed and signed by both parties	By 31 January 2017

- 2.8 Representatives from Leeds Clinical Commissioning Groups have been invited to attend the meeting to discuss the broad implications of the planning guidance for Leeds and to help the Scrutiny Board consider and determine any aspects where more detailed and/or specific scrutiny activity might be required.

### 3. Recommendations

- 3.1 Members are asked to consider the information provided and determine any further scrutiny activity that may be required.

### 4. Background papers<sup>1</sup>

None used

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<sup>1</sup> The background documents listed in this section are available to download from the Council's website, unless they contain confidential or exempt information. The list of background documents does not include published works.